

OPERATIONS COMPLIANCE WORKSHOP

Courtyard by Marriott - Columbia | May 7 & 8, 2025

3301 Lemone Industrial Blvd, Columbia, MO

The MBA Operations Compliance Workshop is designed for bankers who must ensure their bank's compliance with federal and state laws and regulations affecting the operations function. The two-day workshop will cover federal issues on the first day, and state laws and regulations on the second day. A comprehensive, plain language resource manual for each day will enable you to take the facts back to the bank for future reference. These manuals are updated by the MBA as laws and regulations change.

Day One - Federal Operations Compliance

REG E - ELECTRONIC FUNDS TRANSFERS

- Disclosure Requirements
- Consumer Liability
- Error Resolution

REG CC - FUNDS AVAILABILITY

- Availability Requirements
- Disclosure Requirements
- Exception Holds

DEPOSIT REQUIREMENTS

- Definitions & Restrictions

FDIC INSURANCE

- Account Categories
- Bank Records

BANK SECRECY ACT

- Currency Transaction Reporting
- Exempt Persons
- Suspicious Activity Requirements
- \$3,000 Recordkeeping Requirements
- Funds Transfer Recordkeeping Requirements
- Beneficial Ownership Requirements

SECURITY DEVICES & PROCEDURES

- General Requirements
- Board Involvement

REG DD - TRUTH-IN-SAVINGS

- Disclosures & Notices
- Periodic Statements
- Advertising
- Interest Calculations

Day Two - Missouri Operations Compliance

MANAGING DEPOSIT ACCOUNTS

- Titling and Opening
- Control
- Termination

CHECKS

- Post-Dated and Stale Checks
- Stop Payment
- Forged Signatures and Endorsements
- Comparative Fault
- Midnight Deadline

FEES AND CHARGES

GARNISHMENTS

- Summons
- Answers
- Federal Requirements

RIGHT TO FINANCIAL PRIVACY

- Requests from Government Agencies
- Exceptions

UNCLAIMED PROPERTY

- Determining
- Reporting

RECORD RETENTION

- General Rules

DISPLAYING NOTICES

- Bank Notices

SAFE DEPOSIT BOXES

- Rentals and Access
- Contents Ownership
- Unpaid Rent
- Death of Renter

WORKSHOP PRESENTERS

JOHN KLEBBA
Chairman and CEO
Legends Bank

BRYAN BRADLEY
Vice President, Compliance Services
Missouri Bankers Association

TERRI D. THOMAS, JD
Executive Vice President/COO -
Legal Services Director
Kansas Bankers Association

CAROL BARNETT
Senior Vice President, Compliance Services
Missouri Bankers Association

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Submitted
for CRCM
Credits.

THREE WAYS TO REGISTER

- ☎ 573-636-8151
- 🌐 mobankers.com
- ✉ Mail check payable to Missouri Bankers Association and form to:
Missouri Bankers Association
P.O. Box 57
Jefferson City, MO 65102

Organization Information

Bank _____
Address _____
City/State/Zip _____
Phone _____

Names of Attendee(s) *Check the days attending.*

Name _____

Title _____

Email _____

Federal Operations - May 7

Missouri Operations - May 8

Name _____

Title _____

Email _____

Federal Operations - May 7

Missouri Operations - May 8

Registration Fees

Member Fees

Member Fee One Day\$295 # _____ \$ _____

Per person Two Days\$555 # _____ \$ _____

Non Member Fees

Non Member One Day\$1,180 # _____ \$ _____

Per person Two Days\$2,360 # _____ \$ _____

Total Amount Due \$ _____

Method of Payment

Check enclosed, payable to Missouri Bankers Association

Invoice the Bank

Credit Card Payment (Please type)

No. _____

Exp. Date _____ CVV _____

Print Name _____

Signature _____

Schedule Each Day

- 8:00 a.m. Registration
- 8:30 a.m. Program begins
- 12:00 p.m. Lunch
- 1:00 p.m. Program resumes
- 4:30 p.m. Adjourn

Registration Information

Participants may register for one or both days of the workshop. The member registration fee is \$295 per person, per day. The non-member fee is \$1,180 per person, per day.

The cost of meals included in this registration fee for this meeting is \$30.00 per person, per day. This information is provided for your bank's tax records, in keeping with the IRS 50 percent deductible provisions under Section 274(n) of the Internal Revenue Code.

Cancellations/Refunds: Full refunds will be granted for cancellations received by MBA at least 10 days prior to the workshop date. After that date, an administrative fee of \$15 per canceled registration will be retained. Cancellations will not be accepted four days prior to the workshop. Substitutions are always permitted.

Hotel Information

Workshop participants should contact the the Courtyard by Marriott directly for reservations at 573-443-8000. The special group rate of \$109 plus tax, per room, per day, single or double occupancy is available.

Be sure to state you are attending the Missouri Bankers Association's Operations Compliance Workshop. A block of rooms is reserved for this meeting until April 16, 2025 After the cut-off date, availability is not guaranteed and room rates may revert to published rate. Early reservations are encouraged!

Disabilities & Dietary Restrictions

If you have any disabilities or dietary restrictions, please contact Eric Lawson at 573-636-8151 or elawson@mobankers.com.

Dress Attire

Dress is business casual. It is recommended that you bring a sweater or jacket to the sessions. Meeting room temperatures and personal comfort zones vary widely.